Substitute Senate Bill No. 349

Senate, March 25, 1998. The Committee on General Law reported through SEN. COLAPIETRO, 31st DIST., Chairman of the Committee on the part of the Senate, that the substitute bill ought to pass.

AN ACT CONCERNING PHARMACY TECHNICIANS.

it enacted by the Senate and House of Representatives in General Assembly convened:

Section 1. (NEW) (a) No person shall act as a 2 pharmacy technician unless registered with the

3 Department of Consumer Protection. (b) The department shall, upon authorization 5 of the Commission of Pharmacy, register as a 6 pharmacy technician any person who presents 7 evidence satisfactory to the department that such 8 person is qualified to perform, under the direct 9 supervision of a pharmacist, routine functions in 10 the dispensing of drugs that do not require the 11 use of professional judgment. The qualifications 12 for registration as a pharmacy technician under 13 this section shall be in accordance with (1) the 14 standards of an institutional pharmacy 15 care-giving institution or a correctional pharmacy, a 16 juvenile training institution, in the case of 17 employment in any such pharmacy or institution, or the standards established by regulation 18 (2) 19 adopted by the Commissioner of Consumer Protection 20 in accordance with chapter 54 of the general 21 statutes, in the case of employment in a pharmacy. 22 As used in this subsection, "direct supervision"

23 means a supervising pharmacist (A) is physically

24 present in the area or location where the pharmacy 25 technician is performing routine drug dispensing 26 functions and (B) conducts in-process and final 27 checks on the pharmacy technician's performance.

28 (c) The fee required by section 20-601 of the 29 general statutes, as amended by section 6 of this 30 act, shall accompany an application for 31 registration under this section. A registration as 32 a pharmacy technician shall be valid for one year 33 and may be renewed upon application and payment of 34 the fee required by section 20-601 of the general 35 statutes, as amended by section 6 of this act.

36 Sec. 2. Subdivision (20) of section 20-571 of 37 the general statutes is repealed and the following 38 is substituted in lieu thereof:

39 "Pharmacy (20)technician" means 40 individual who is REGISTERED WITH THE DEPARTMENT 41 AND qualified [according to the standards of an 42 institutional pharmacy, a care-giving institution 43 or correctional or juvenile 44 institution, or, in the case of a pharmacy, by 45 standards established by regulations adopted by 46 the Department of Consumer Protection, to perform, 47 under the direct supervision of a pharmacist, 48 routine functions in the dispensing of drugs which 49 do not require the use of professional judgment. 50 As used in this subdivision, "direct supervision", 51 means a supervising pharmacist is physically 52 present in the area or location where the pharmacy 53 technician is performing his functions 54 conducts in-process and final checks on said 55 technician's performance] IN ACCORDANCE WITH 56 SECTION 1 OF THIS ACT.

57 Sec. 3. Section 20-576 of the general 58 statutes is repealed and the following is 59 substituted in lieu thereof:

60 (a) The Commissioner of Consumer Protection 61 may, with the advice and assistance of 62 Commission of Pharmacy, adopt regulations in 63 accordance with chapter 54 govern to 64 performance of the commission's duties, 65 practice of pharmacy and the business of retailing 66 drugs and devices. Such regulations may include, 67 but are not limited to, provisions (1) concerning 68 the licensing of any pharmacist or pharmacy, 69 disciplinary action that may be taken against a 70 licensee, the conduct of a pharmacist and the 71 operation of a pharmacy, (2) specifying various 72 classes of pharmacy licenses issued under section 73 20-594, including, but not limited to, licenses 74 for infusion therapy pharmacies and nuclear 75 pharmacies and specifying requirements for 76 operation of pharmacies under the classes of 77 pharmacy licenses permitted under the regulations, 78 (3) concerning creation and maintenance of 79 prescription records, and (4) concerning 80 registration and activities of pharmacy interns 81 AND PHARMACY TECHNICIANS.

82 (b) The Commissioner of Consumer Protection 83 shall, with the advice and assistance of the 84 Commission of Pharmacy, adopt regulations 85 governing (1) the storage and retrieval 86 prescription information for noncontrolled 87 substances, including refills, by pharmacists 88 through the use of electronic data processing 89 systems or other systems for the efficient storage 90 and retrieval of information, (2) the operation of 91 institutional pharmacies pursuant to chapters 368a 92 and 418, sections 17a-210 to 17a-273, inclusive, 93 and 19a-490 to 19a-520, inclusive, and sections 20-625, inclusive, and (3) 94 20-570 to 95 activities of pharmacy technicians in pharmacies 96 and institutional pharmacies, including ratios of 97 pharmacy technicians to pharmacists in pharmacies 98 and institutional pharmacies.

99 Sec. 4. Section 20-579 of the general 100 statutes is repealed and the following is 101 substituted in lieu thereof:

(a) The Commission of Pharmacy may refuse to 103 authorize the issuance or renewal of a license to 104 practice pharmacy, [or] a license to operate a 105 pharmacy OR A REGISTRATION OF A PHARMACY INTERN OR 106 PHARMACY TECHNICIAN, and may revoke or suspend a 107 license to practice pharmacy, a license to operate 108 a pharmacy, OR a registration of a pharmacy intern 109 OR A PHARMACY TECHNICIAN, or take other action 110 permitted in subdivision (7) of section 21a-7 if 111 the applicant [,] OR holder of the license or 112 [intern] REGISTRATION: (1) Has violated a statute 113 or regulation relating to drugs, devices or the 114 practice of pharmacy of this state, any state of 115 the United States, the United States, the District 116 of Columbia, the Commonwealth of Puerto Rico, any 117 territory or insular possession subject to the 118 jurisdiction of the United States or a foreign 119 jurisdiction; (2) has been convicted of violating

120 any criminal statute relating to drugs, devices or 121 the practice of pharmacy of this state, any state 122 of the United States, the United States, the 123 District of Columbia, the Commonwealth of Puerto 124 Rico, any territory or insular possession subject 125 to the jurisdiction of the United States or a 126 foreign jurisdiction; (3) has been disciplined by, 127 or is the subject of pending disciplinary action 128 or an unresolved complaint before, the duly 129 authorized pharmacy disciplinary agency of any 130 state of the United States, the United States, the 131 District of Columbia, the Commonwealth of Puerto 132 Rico, any territory or insular possession subject 133 to the jurisdiction of the United States or a 134 foreign jurisdiction; (4) has been refused 135 license or registration or renewal of a license or 136 registration by any state of the United States, 137 the United States, the District of Columbia, the 138 Commonwealth of Puerto Rico, any territory or 139 insular possession subject to the jurisdiction of 140 the United States or a foreign jurisdiction based 141 on grounds that are similar to grounds on which 142 Connecticut could refuse to issue or renew such a 143 license or registration; (5) has illegally 144 possessed, diverted, sold or dispensed drugs or 145 devices; (6) abuses or excessively uses drugs, 146 including alcohol; (7) has made false, misleading 147 or deceptive representations to the public or 148 commission; (8) has maintained exclusive telephone 149 lines to, has maintained exclusive electronic 150 communication with, or has exclusive access to 151 computers located in offices of prescribing 152 practitioners, nursing homes, clinics, hospitals 153 or other health care facilities; (9) 154 substituted drugs or devices except as permitted 155 in section 20-619; (10) has accepted, for return 156 to regular stock, any drug already dispensed in 157 good faith or delivered from a pharmacy, and 158 exposed to possible and uncontrolled contamination 159 or substitution; (11) has split fees 160 professional services, including a discount or 161 rebate, with a prescribing practitioner or an 162 administrator or owner of a nursing home, hospital 163 or other health care facility; (12) has entered 164 into an agreement with a prescribing practitioner 165 or an administrator or owner of a nursing home, 166 hospital or other health care facility for the 167 compounding or dispensing of secret formula or

168 coded prescriptions; (13) has performed or been a 169 party to a fraudulent or deceitful practice or 170 transaction; (14) has presented to the commission 171 a diploma, license or certificate illegally or 172 fraudulently obtained, or obtained from a college 173 or school of pharmacy not approved by the 174 Commission of Pharmacy; (15) has performed 175 incompetent or negligent work; (16) has falsified 176 a continuing education document submitted to the 177 commission or department or a certificate retained 178 in accordance with the provisions of subsection 179 (d) of section 20-600; (17) has permitted a person 180 not licensed to practice pharmacy in this state to 181 practice pharmacy in violation of section 20-605, 182 to use a pharmacist license or pharmacy display 183 document in violation of section 20-608, or to use 184 words, displays or symbols in violation of section 185 20-609; or (18) has failed to maintain the entire 186 pharmacy premises, its components and contents in 187 a clean, orderly and sanitary condition.

(b) The Commission of Pharmacy may refuse to 189 authorize the issuance or renewal of a license to 190 practice pharmacy, [or] a license to operate a 191 pharmacy OR A REGISTRATION OF A PHARMACY INTERN OR 192 PHARMACY TECHNICIAN, and may revoke or suspend a 193 license to practice pharmacy, a license to operate 194 a pharmacy, OR a registration of a pharmacy intern 195 OR A PHARMACY TECHNICIAN, or take other action 196 permitted in subdivision (7) of section 21a-7 if 197 the commission determines that the applicant [, 198 license holder or intern] OR HOLDER OF THE LICENSE 199 OR REGISTRATION has a condition including, but not 200 limited to, physical illness or loss of skill or 201 deterioration due to the aging process, emotional 202 disorder or mental illness, abuse or excessive use 203 of drugs or alcohol that would interfere with the 204 practice of pharmacy, operation of a pharmacy or 205 activities as a pharmacy intern OR PHARMACY 206 TECHNICIAN, provided the commission may not, in 207 taking action against a license OR REGISTRATION 208 holder [or intern] on the basis of such a 209 condition, violate the provisions of section 210 46a-73 or 42 USC Section 12132 of the federal 211 Americans with Disabilities Act.

212 Sec. 5. Section 20-583 of the general 213 statutes is repealed and the following is

214 substituted in lieu thereof:

215 An appeal of a decision by the commission to 216 discipline a person licensed to practice pharmacy 217 or registered as a pharmacy intern OR PHARMACY 218 TECHNICIAN, to refuse a person's application for a 219 license to practice pharmacy or to refuse to 220 register a person as a pharmacy intern OR PHARMACY 221 TECHNICIAN shall be made returnable to the 222 judicial district in which the person resides or, 223 if the person does not reside in Connecticut, to 224 the judicial district of Hartford-New Britain*. An 225 appeal of a decision by the commission to 226 discipline the holder of a pharmacy license or the 227 holder of a permit to sell nonlegend drugs or to 228 refuse a person's application for such a license 229 or permit appeal shall be made returnable to the 230 judicial district in which the building or store 231 is located, for which the license or permit was 232 sought or in which it was suspended or revoked. 233 All appeals under the provisions of this section 234 shall be treated as privileged and shall be 235 assigned for trial and tried as soon as may be 236 practicable.

237 Sec. 6. Section 20-601 of the general 238 statutes is repealed and the following is 239 substituted in lieu thereof:

The Department of Consumer Protection shall collect the following nonrefundable fees:

- 242 (1) The fee for issuance of a pharmacist 243 license shall be one hundred dollars, payable at 244 the date of application for the license.
- 245 (2) The fee for applying to take the 246 pharmacist license examination required in section 247 20-590 and in section 20-591 shall be one hundred 248 fifty dollars, payable at the date of application 249 for the pharmacist license.
- 250 (3) The fee for renewal of a pharmacist 251 license shall be the professional services fee for 252 class A, as defined in section 33-1821. Before the 253 commission grants a license to an applicant who 254 has not held a license authorized by the 255 commission within five years of the date of 256 application, the applicant shall pay the fees 257 required in subdivisions (1) and (2) of this 258 section.
- 259 (4) The fee for issuance of a pharmacy 260 license shall be six hundred dollars.
- 261 (5) The fee for renewal of a pharmacy license 262 shall be one hundred fifty dollars.

- (6) The late fee for an application for 264 renewal of a license to practice pharmacy, 265 pharmacy license or a permit to sell nonlegend 266 drugs shall be the amount set forth in section 267 21a-4.
- 268 (7) The fee for notice of a change in 269 officers or directors of a corporation holding a 270 pharmacy license shall be thirty dollars for each 271 pharmacy license held. A late fee for failing to 272 give such notice within ten days of the change 273 shall be twenty-five dollars in addition to the 274 fee for notice.
- 275 (8) The fee for filing notice of a change in 276 name, ownership or management of a pharmacy shall 277 be forty-five dollars. A late fee for failing to 278 give such notice within ten days of the change 279 shall be twenty-five dollars in addition to the 280 fee for notice.
- (9) The fee for application for registration 282 as a pharmacy intern shall be thirty dollars.
- (10) The fee for application for a permit to 284 sell nonlegend drugs shall be seventy dollars.
- (11) The fee for renewal of a permit to sell 285 286 nonlegend drugs shall be fifty dollars.
- (12) The late fee for failing to notify the 288 commission of a change of ownership, name or 289 location of the premises of a permit to sell 290 nonlegend drugs within five days of the change 291 shall be ten dollars.
- <u>(13</u>) 292 THE FEE FOR APPLICATION FOR REGISTRATION 293 AS A PHARMACY TECHNICIAN SHALL BE FIFTY DOLLARS.
- (14)THE FEE FOR RENEWAL OF A REGISTRATION AS 295 A PHARMACY TECHNICIAN ${ t SHALL}$ BETWENTY-FIVE 296 DOLLARS.
- 297 7. Sec. Section 20-607 of the general 298 statutes is repealed and the following 299 substituted in lieu thereof:
- Each person practicing as a pharmacist, 300 301 PHARMACY INTERN OR PHARMACY TECHNICIAN shall at 302 all times have available for inspection by an 303 inspector of the Department of Consumer Protection 304 a current certificate of license to practice
- 305 pharmacy OR A CURRENT REGISTRATION TO ACT AS A
- 306 PHARMACY INTERN OR PHARMACY TECHNICIAN.
- 307 PH COMMITTEE VOTE: YEA 22 NAY 0 JF C/R GL
- 308 GL COMMITTEE VOTE: YEA 16 NAY 0

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"THE FOLLOWING FISCAL IMPACT STATEMENT AND BILL ANALYSIS ARE PREPARED FOR THE BENEFIT OF MEMBERS OF THE GENERAL ASSEMBLY, SOLELY FOR PURPOSES OF INFORMATION, SUMMARIZATION AND EXPLANATION AND DO NOT REPRESENT THE INTENT OF THE GENERAL ASSEMBLY OR EITHER HOUSE THEREOF FOR ANY PURPOSE."

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FISCAL IMPACT STATEMENT - BILL NUMBER sSB 349

STATE IMPACT		Revenu Increa				nal	Workloa	đ
MUNICIPAL IMPACT		None						
STATE AGENCY(S)		Depart	ment	of C	onsume	er P	rotection	
	Curren	t FY	199	8-99			1999-2000	
State Cost (savings)	: :		:			:		: :
St Revenue (loss)	:		:	150,	000	:	75,000	 : :
Net St Cost (savings)	:		:		·	:		 : :
Municipal Impact	:		:			:		 : :

EXPLANATION OF ESTIMATES:

The bill requires the Department of Consumer Protection to register Pharmacy Technicians in the State of Connecticut. The passage of the bill would result in a revenue gain to the state's General Fund in the amount of approximately \$150,000 for FY 1998-99 and \$75,000 in FY 1999-00. There are an estimated 3,000 Pharmacy Technicians in the state. An initial license would have a fee of \$50.00 with annual renewals of \$25.00.

There would be a minimal workload increase for the department associated with registering of Pharmacy

Technicians and adopting regulations, It is expected that this minimal workload increase can be handled within the anticipated budgetary resources of the Department of Consumer Protection.

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OLR BILL ANALYSIS

sSB 349

AN ACT CONCERNING PHARMACY TECHNICIANS

SUMMARY: This bill requires pharmacy technicians to register with the commissioner of the Department of Consumer Protection (DCP). The commissioner must register applicants who present satisfactory evidence that they are qualified. The application fee is \$50 and the annual renewal fee is \$25. The law already prescribes pharmacy technicians' role and necessary qualifications and requires them to work under the direct supervision of a pharmacist.

The bill also authorizes the commissioner, with the Pharmacy Commission's advice and assistance, to adopt regulations on pharmacy technician registration. Further, he may revoke, suspend, or refuse to register a technician on the same grounds as he may use to take those actions against a pharmacist and others regulated by the Pharmacy Commission. The bill allows technicians to appeal adverse decisions to Superior Court in the same way that others regulated by the commission appeal. Technicians must keep their registration certificate available for inspection by a DCP inspector.

The bill authorizes the Pharmacy Commission to refuse to issue or renew a pharmacy intern's registration on the same grounds it may now use to revoke or suspend a registration. Finally, it requires pharmacy interns to keep their registration certificates available for inspection.

EFFECTIVE DATE: October 1, 1998

BACKGROUND

Pharmacy Technicians

The law requires a technician to work under the "direct supervision of a pharmacist," which means that a supervising pharmacist is physically present in the area or where the technician is working and conducts in-process and final performance checks. They may perform routine drug dispensing functions that do not require professional judgment

A technician's qualifications must be set according to the standards of (1) an institutional pharmacy; (2) care-giving institution; (3) correctional or juvenile training institution; or (4) for technicians working in a pharmacy, regulations adopted by the consumer protection commissioner.

Administrative Discipline

The Pharmacy Commission may revoke or suspend, refuse to issue or renew, a registration or license on many grounds, including if an applicant or practitioner (1) has violated or been convicted in criminal court of violating laws relating to pharmacy practice or drugs and devices; (2) is or has been the subject of administrative discipline by an agency regulating pharmacy practice; (3) has been refused a pharmacy license or registration; (4) has illegally possessed, diverted, or sold drugs; (5) has abused drugs. including alcohol; (6) made false statements to the commission or the public; (7) has substituted drugs, except in accordance with the generic drug substitution law; (8) has accepted, for return to stock, a drug that has already been dispensed or delivered and exposed to possible contamination; and (9) performing incompetent work.

COMMITTEE ACTION

Public Health Committee

Joint Favorable Change of Reference Yea 22 Nay 0

General Law Committee

Joint Favorable Substitute Yea 16 Nay 0